

10/576849  
WP12 Rec'd PCT/PTO 20 APR 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**In Re Application of:**

SMITH, Brian; at al.

**Serial No.:** Unknown

**Group Art Unit:** Unknown

**International Filing Date:** October 21, 2004

**Examiner:** Unknown

**For: BENZAZEPINE DERIVATIVES AND METHODS OF PROPHYLAXIS OR  
TREATMENT OF 5HT<sub>2C</sub> RECEPTOR ASSOCIATED DISEASES**

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**Mail Stop PCT**  
**Commissioner for Patents**  
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**Express Mail No.:** EV332846651US  
**Date:** April 20, 2006

**PRELIMINARY AMENDMENT**

Sir:

It is respectfully requested that the above-identified patent application be amended as follows.